



UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Barry Farris
SERIAL NO.:	09/524,213
FILED:	March 13, 2000
FOR:	Apparatus and Method for the Storage and Transfer of a Lyophilisate

To: Assistant Commissioner for Patents  
Attn.: Box Missing Parts  
Washington, D.C. 20231

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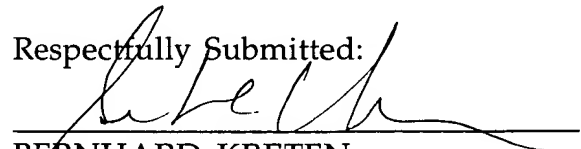
**RESPONSE TO NOTICE TO FILE**  
**MISSING PARTS OF NONPROVISIONAL APPLICATION**

Responsive to the Notice to File Missing Parts of Nonprovisional Application mailed May 19, 2000, 2000, kindly enter the enclosed originally signed Declaration for Patent Application into the above-identified case.

A check in the amount of \$120.00 is enclosed, \$65.00 of which is to be received for the surcharge fee with respect to this Notice to File Missing Parts of Nonprovisional Application. It is believed that the only additional fee now due is the surcharge for a small entity, per the attached Verified Statement Claiming Small Entity Status. However, if additional fees still remain due, it is requested that such fees be charged to deposit account no. 11-1734, Attorney Docket No. 30008-pa. A duplicate copy of this Response is enclosed.

Dated: July 21, 2000

Respectfully Submitted:

  
BERNHARD KRETEN  
Applicant's Attorney  
Telephone (916) 921-6181  
Registration No.: 27,037



**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

**Applicant:** Barry Farris  
**Serial No.:** 09/524,213  
**Filed:** March 13, 2000  
**For:** Apparatus and Method for the Storage and Transfer of a Lyophilisate  
**Paper:**

1. Response to Notice to File Missing Parts of Nonprovisional Application (responsive to the Notice to File Missing Parts of Nonprovisional Application dated May 19, 2000) (original and one copy);
2. Notice to File Missing Parts of Nonprovisional Application (Part 2 - copy to be returned with response);
3. Declaration for Patent Application (originally executed);
4. Verified Statement Claiming Small Entity Status (Independent Inventor);
5. Extension of time (original and one copy); and
6. A check in the amount of \$120.00, \$65.00 of which reflects the government surcharge for filing response to Notice to File Missing Parts of Nonprovisional Application; and \$55.00 of which reflects the government fee for extension of time.

I hereby certify that the above identified correspondence, which is attached, is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents and Trademarks, Box Missing Parts, Washington DC 20231  
on July 21, 2000.

Ellen J. Terrud

(Signature)

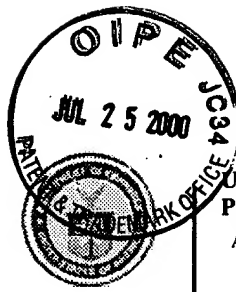
July 21, 2000

(Date of Signature)

## FORMALITIES LETTER



\*OC00000005127195\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/524,213	03/13/2000	Barry Farris	30008-pa

Bernhard Kreten  
77 Cadillac Drive  
Suite 245  
Sacramento, CA 95825

Date Mailed: 05/19/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/28/2000 SDENBOB1 00000017 09524213

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